

REPORT REFERENCE NO.	APRC/20/3
MEETING	AUDIT & PERFORMANCE REVIEW COMMITTEE
DATE OF MEETING	4 MARCH 2020
SUBJECT OF REPORT	2020-21 INTERNAL AUDIT PLAN
LEAD OFFICER	DIRECTOR OF GOVERNANCE & DIGITAL SERVICES
RECOMMENDATIONS	That the 2020-21 Internal Audit Plan as appended to this report be approved.
EXECUTIVE SUMMARY	<p>The Service has started to roll out an extensive programme of change and improvement in the years to come, it's important that we are measuring the impact of that change to ensure that we achieve what we set out in our Strategic Plans.</p> <p>Although each change project will include an element of benefits realisation by the programme board and benefits owner, over the coming years we will seek to use audit and review much more to help us to understand the impact we are having within those areas of improvement.</p> <p>Audit also serves to identify areas that require further improvement and therefore informs the future programme of change, and provides a good measure of the wider impacts of change across the organisation. To reflect this, the internal audit plan for 2020-21 has been divided into audits that we will do on an annual cycle (mostly legal requirements), audits that we will use to examine areas of organisational risk, and also new areas we will audit as a means for evaluating our organisational improvements.</p> <p>On approval of the number of days in the below a more detailed plan will be developed, outlining specific subjects that will be audited, and will be presented to members at the first APRC meeting in financial year 2020-21.</p> <p>Attached for consideration and discussion is the proposed 2020-21 Internal Audit Plan.</p>
RESOURCE IMPLICATIONS	The internal audit plan will be delivered within existing resources.
EQUALITY RISKS AND BENEFITS ASSESSMENT (ERBA)	The contents of this report are considered compatible with existing human rights and equalities legislation.
APPENDICES	A. 2020-21 Internal Audit Plan
LIST OF BACKGROUND PAPERS	Audit Service Policy Public Sector Internal Audit Standards

2020-21 Audit Plan

Audit & Review days	143
Devon Audit Partnership (DAP) days - Risk Based Audit	50
Devon Audit Partnership (DAP) days - Key Financial Systems	35
HMICFRS	200
Information Assurance	158
IT Security days	110
Operational Assurance	315
Organisational Safety & Assurance	499
Total Assurance Days	1367

Types of reviews included :

Strategic Reviews

Annual Statement of Assurance	5
HMICFRS	200
Total	205

Compliance Reviews

Key Financial Systems (DAP)	35
Data Protection Compliance monitoring	158
Total	193

Audit Health Checks

IT Health Check - Corporate	15
IT Health Check - NFSP	25
RMADS	15
Code of Connection (Airwave/ESN)	10
ISO 27001 Alignment	45
Total	110

Internal Audit

Annual Internal Audits	65
Risk Based Audit (inc. Contingency)	163
Total	228

Other Continuous Improvement & Assurance activity	631
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